BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation)	
Against:)	
) "	
)	
FRED SUESS, M.D.	.)	Case No. 800-2014-006221
)	
Physician's and Surgeon's	ĵ	
Certificate No. G26266)	
)	
Respondent) .	

ORDER CORRECTING CLERICAL ERROR IN "EFFECTIVE DATE" ON ORDER PAGE

On its own motion, the Medical Board of California (hereafter "board") finds that there is a clerical error in the "effective date" on the Order page of the Decision in the above-entitled matter and that such clerical error should be corrected so that the effective date is correct.

IT IS HEREBY ORDERED that the effective date on the Order page in the aboveentitled matter be and hereby amended and corrected nunc pro tunc as of the date of entry, to read as follows.

This Decision shall become effective at 5:00 p.m. on November 3, 2017.

IT IS SO ORDERED: October 12, 2017.

MEDICAL BOARD OF CALIFORNIA

Michelle Anne Bholat, M.D., Chair

Panel B

BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation)
Against:)
)
)
Fred Suess, M.D.) Case No. 800-2014-006221
Physician's and Surgeon's	,
Certificate No. G26266	· ·
)
Respondent)
	_)
•	

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on November 6, 2017.

IT IS SO ORDERED October 6, 2017.

MEDICAL BOARD OF CALIFORNIA

Michelle Anne Bholat, M.D., Chair

Panel B

.		· · · · · · · · · · · · · · · · · · ·				
1 2	XAVIER BECERRA Attorney General of California JANE ZACK SIMON					
3	Supervising Deputy Attorney General LAWRENCE MERCER	•				
4	Deputy Attorney General State Bar No. 111898					
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004					
6	Telephone: (415) 703-5539 Facsimile: (415) 703-5480	•				
7	Attorneys for Complainant					
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA					
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA					
10	In the Matter of the Accusation Against:	Case No. 800-2014-006221				
11	FRED SUESS, M.D.	•				
12	1700 California Street, Suite 500 San Francisco, CA 94109	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER				
13		DISCIFLINARY ORDER				
14	Physician's and Surgeon's Certificate G26266					
15	Respondent.	·				
16	,					
17	IT IS HEREBY STIPULATED AND A	GREED by and between the parties to the above				
18	entitled proceedings that the following matters a	re true:				
19 20	Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Board					
21	of California. She brought this action solely in her official capacity and is represented in this					
22	matter by Xavier Becerra, Attorney General of the	ne State of California, by Lawrence Mercer.				
23	2. Respondent Fred Suess, M.D. ("Res	pondent") is represented in this matter by his				
24	attorneys John H. Dodd and Craddick, Candland	& Conti, 2420 Camino Ramon, Suite 202, San				
25	Ramon, CA 94583.					
26	3. On or about January 7, 1974, the Me	dical Board of California issued Physician's and				
27	Surgeon's certificate Number G26266 to Fred Suess, M.D. (Respondent). The Physician's and					
28	Bulgoon of third of the or	The state of the s				

Surgeon's certificate was in full force and effect at all times relevant to the charges brought herein and will expire on November 30, 2018, unless renewed.

JURISDICTION

4. On January 22, 2016, Complainant Kimberly Kirchmeyer, in her official capacity as the Executive Director of the Board, filed Accusation No. 800-2014-006221(Accusation) against Respondent. The Accusation was duly served upon Respondent and he timely filed a Notice of Defense. A copy of the Accusation is attached hereto as Exhibit A.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2014-006221.
- 6. Respondent has carefully read and fully understands the contents, force and effect of this Stipulated Settlement and Disciplinary Order, and has fully reviewed and discussed same with his attorney of record.
- 7. Respondent is fully aware of his legal rights in this matter including his right to a hearing on the charges and allegations contained in Accusation No. 800-2014-006221, his right to present witnesses and evidence and to testify on his own behalf, his right to confront and cross-examine all witnesses testifying against him, his right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents, his right to reconsideration and court review of an adverse decision, and all other rights accorded him pursuant to the California Administrative Procedure Act, the California Code of Civil Procedure, and all other applicable laws, having been fully advised of same by his attorney of record. Respondent, having the benefit of counsel hereby knowingly, intelligently, freely and voluntarily waives and gives up each and every one of the rights set forth and/or referenced above.

CULPABILITY

8. Respondent agrees that, at an administrative hearing, complainant could establish a prima facie case with respect to the charges and allegations contained in Accusation No. 800-

2014-006221 and that he has thereby subjected his Physician's and Surgeon's Certificate to disciplinary action. Respondent further agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

CONTINGENCY

- 9. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 10. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including electronic PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 11. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

A. PUBLIC REPRIMAND

IT IS HEREBY ORDERED: that Respondent Fred Suess, M.D., Physician's and Surgeon's Certificate No. G26266, shall be and is hereby publicly reprimanded pursuant to

California Business and Professions Code § 2227(a)(4). This Public Reprimand, which is issued in connection with Respondent's actions as set forth in Accusation No. 12-2013-231181, is as follows:

On September 26, 2013, you were the surgeon who performed cosmetic surgical procedures on Patient E.C. Prior to and during the procedures, E.C. had elevated blood pressure and, during the procedures, E.C. became hypoxic. Although you recorded blood pressure and oxygen level readings, you failed to document the intra-operative medical response to E.C.'s hypertension and hypoxia.

B. <u>MEDICAL RECORD KEEPING COURSE</u> Within 60 days of the effective date of this decision, Respondent shall enroll in a course in medical record keeping, at Respondent's expense, approved in advance by the Board or its designee.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision. Respondent shall submit a certification of successful completion to the Board or its designee. Failure to successfully complete the medical record keeping course shall constitute unprofessional conduct and grounds for further disciplinary action.

In consideration for his agreement to complete the medical record keeping course, as set forth above, Respondent shall be publicly reprimanded as set forth in the public letter of reprimand, as set forth above in Paragraph 11(A).

C. <u>EDUCATION COURSE</u>: Within 60 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 25 hours. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I and include: Pre-operative patient evaluation, informed consent, management of intra-

operative hypertension and hypoxia, and medical record keeping. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 50 hours of CME of which 25 hours were in satisfaction of this condition.

In consideration for his agreement to complete the education course, as set forth above, Respondent shall be publicly reprimanded as set forth in the public letter of reprimand, as set forth above in Paragraph 11(A).

ACCEPTANCE

I, FRED SUESS, M.D., have carefully read this Stipulated Settlement and Disciplinary Order and, having the benefit of counsel, enter into it freely, voluntarily, intelligently and with full knowledge of its force and effect on my Physician's and Surgeon's Certificate No. G26266. I fully understand that, after signing this stipulation, I may not withdraw from it, that it shall be submitted to the Medical Board of California for its consideration, and that the Board shall have a reasonable period of time to consider and act on this stipulation after receiving it. By entering into this stipulation, I fully understand that, upon formal acceptance by the Board, I shall be publically reprimanded by the Board and shall be required to comply with the terms and conditions of the Disciplinary Order set forth above. I, also, fully understand that any failure to comply with the terms and conditions of the Disciplinary Order set forth above shall constitute unprofessional conduct and that my Physician's and Surgeon's Certificate No. G26266 will be subject to further disciplinary action.

Dated:

FRED SUESS, M.D.

Respondent

I have read and fully discussed with Respondent FRED SUESS, M.D. the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

Dated: 8/22/17

CRADDICK, CANDLAND & CONTI

JOHN H. DODD

Attorneys for Respondent

ENDORSEMENT

The foregoing Stipulation is respectfully submitted for consideration by the Medical Board of California, Department of Consumer Affairs.

Dated: 8/22/2017

Respectfully submitted,

XAVIER BECERRA Attorney General of California JANE ZACK SIMON Supervising Deputy Attorney General

LAWRENCE MERCER Deputy Attorney General Anorneys for Complainant

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		FILED			
1	Kamala D. Harris	STATE OF CALIFORNIA			
	Attorney General of California	MEDICAL BOARD OF CALIFORNIA			
2	JANE ZACK SIMON Supervising Deputy Attorney General	SACRAMENTO James 422 20 16			
3	LAWRENCE MERCER	BY R. Firdaus ANALYST			
4	Deputy Attorney General State Bar No. 111898				
5	455 Golden Gate Avenue, Suite 11000	•			
	San Francisco, CA 94102-7004 Telephone: (415) 703-5539	•			
6	Telephone: (415) 703-5539 Facsimile: (415) 703-5480 Attorneys for Complainant				
7	Anorneys for Complainani				
8	BEFORE THE				
	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS				
9	STATE OF CALIFORNIA				
10		7			
11	In the Matter of the Accusation Against:	Case No. 800-2014-006221			
12	FRED SUESS, M.D.	ACCUSATION			
	1700 California Street, Suite 500 San Francisco, CA 94109				
13	Physician and Surgeon's Cartificate	·			
14	Physician and Surgeon's Certificate No. G 26266,				
15	Respondent.				
16		<u>'</u>			
	Complainant alleges:	•			
17	PA	RTIES			
18		· ·			
19		t) brings this Accusation solely in her official			
20	capacity as the Executive Director of the Medical Board of California, Department of Consumer				
21	Affairs (Board).				
_	2. On or about January 7, 1974, the Medical Board issued Physician and Surgeon's				
22	Certificate Number G 26266 to Fred Suess, M.D. (Respondent). The Physician and Surgeon's				
23	Certificate was in full force and effect at all times relevant to the charges brought herein and will				
24					
25	expire on November 30, 2016, unless renewed.				
26	<u>JURISDICTION</u>				
27	3. This Accusation is brought before the Board, under the authority of the following				
28	laws. All section references are to the Business and Professions Code unless otherwise indicated.				
20					
		- ·			

licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

- "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
- "(f) Any action or conduct which would have warranted the denial of a certificate.
- "(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.
- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."
- 6. Section 2266 of the Code states: "The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

FACTS RE: PATIENT E.C.¹

- 7. In 2013, Respondent was an independent contractor providing facial cosmetic surgery services two days a week for the Lifestyle Lift clinic in San Ramon, California. E.C., a 57 year-old male, made an appointment with Lifestyle Lift in San Ramon for September 7, 2013. E.C. indicated that he was interested in improving the appearance of his lower face and eyes. E.C. filled out a medical history form, on which, without explanation, he checked, "Yes" to the question, "Are you currently undergoing radiation therapy or chemotherapy for cancer?" He did not list the name of his primary care physician (PCP) on the form, but did indicate that his PCP could be contacted for any questions. He indicated he was taking no medications and had no allergies. He listed no prior surgeries.
- 8. On September 13, 2013, E.C. met with Dr. G.K., a plastic surgeon working for Lifestyle Lift, for a pre-operative visit. Dr. K. performed a history and physical examination and

¹ Initials are used to protect patient privacy. Respondent will be provided with the full name of the patient and others referred to by initial pursuant to his Request for Discovery.

wrote prescriptions for Valium 5 mg. #20, Tylenol with Oxycodone 10/325 #30, and Keflex 500 mg. Dr. K. indicated that E.C. had hypertension but was not on any medication. E.C.'s blood pressure was noted to be 152/97. E.C. signed informed consent forms for Lifestyle Lift face and neck-firming procedures, as well as blepharoplasty. Dr. K. did not co-sign the forms, indicating that he had personally reviewed the risks, benefits, and alternatives of the procedures with E.C. and answered all questions. Dr. K. noted his surgical plan as "Face & neck. Upper lids skin only. Possible fat transfer to cheeks. Fast absorbing suture." Dr. K. did not sign his surgical plan.

- 9. Dr. G.K. left his position at Lifestyle Lift, and E.C.'s care was transferred to Respondent. Surgery was rescheduled for September 26, 2013 with Respondent, and a "Meet the Doctor" date of September 19, 2013 was scheduled. On September 16, 2013, Respondent filled out and signed a History and Physical Examination form on E.C. The form documented only the facial examination and surgical plan, including lower lid laser. There is no documentation of vital signs, particularly no blood pressure reading. E.C. signed new informed consent forms for Lifestyle Lift face and neck firming surgery and blepharoplasty by Respondent. Respondent did not co-sign the informed consent forms indicating that he had personally reviewed the risks, benefits, and alternatives of the procedures with E.C. and answered all questions. There is no consent form for laser resurfacing.
- 10. On September 25, 2013, E.C. saw Respondent, and Respondent's notes indicate "Famvir" and "take BP today." There is no documentation in the chart that a prescription for Famvir was written or that the drug was dispensed or used by the patient. There is also no documentation that E.C.'s blood pressure was taken.
- 11. E.C. presented for surgery on September 26, 2013. Vital signs were taken, and his blood pressure was documented as 177/116 right and 160/95 left. At 11:30 a.m., E.C. was in the surgical suite and was given oral sedation consisting of diazepam 30 mg.; lorazepam 1 mg.; acetaminophen with hydrocodone 5/325; promethazine 25 mg.; clonidine 0.1 mg.; and

² Famvir is an antiviral drug designed to reduce the risk of a herpetic outbreak, and its administration here would be to protect the lower eyelids where laser resurfacing was to take place.

diphenhydramine 25 mg. Local anesthesia was infiltrated into the facial skin and subcutaneous tissues one hour and fifteen minutes later at 12:45 p.m. This consisted of 1% lidocaine with 1:100,000 epinephrine (75 ml.); .25% bupivacaine with 1:200,000 epinephrine (30 ml.); normal saline (60 ml.); and approximately 1:150,000 epinephrine, with the total being approximately 200 ml. of local anesthesia infiltrated.

- 12. Surgery commenced sometime after 1:00 p.m. on September 26, 2013. E.C.'s pulse rate, oxygen saturation, and blood pressure were monitored automatically throughout the procedure. E.C. remained hypertensive throughout the procedure, and no additional medications were provided for blood pressure control. The procedure began with E.C.'s oxygen saturation at 98%. At 1:32 p.m., the oxygen saturation dropped to 58% and remained in the 60-70% range until approximately 3:15 p.m., when it returned to the 90% range. Additional sedating drugs were given between 4:05 p.m. and 4:30 p.m., and oxygen readings fell again into the 50-70% range until the end of the procedure with only occasional readings in the normal range.
- 13. Although not documented in the record, Respondent indicates that sometime after 3:00 p.m., he was called upon to attend a post-operative patient who had presented with incisions on her face that had opened, an emergency. Respondent left E.C. in the surgical suite and, after 20 to 30 minutes attending to the emergency patient, Respondent returned to the surgical suite to complete E.C.'s surgery. Neither his departure from the OR nor the names and qualifications of those attending the patient in his absence are documented in the operative note. Upon Respondent's return, E.C. was given additional oral sedation, as well as more local anesthesia. The time of injection of an additional 44 ml. of local anesthesia was not documented on the Patient Surgery Information Sheet. The certified surgical technician administered the anesthesia under Respondent's supervision and assisted in the procedure; Respondent indicated at his subject interview with the Board on July 30, 2015 that a nurse was also present, but this is not documented in the record. Surgery was completed at approximately 6:00 p.m. E.C.'s final blood pressure was 186/116. E.C. was discharged home at 6:25 p.m.
- 14. The Operative Report on E.C. signed by Respondent is a template document that provides no personal detail on the procedure. For example, the template states that skin openings

were left behind E.C.'s ears for expressing fluid, but the post-operative notes state that the patient had drainage tubes placed bilaterally. The Operative Report states that a lower lid blepharoplasty was performed, but no such procedure was performed on E.C.

- 15. Post-operative photographs of E.C. were taken on September 27, 2013, but there is no progress note for this visit. A note for a visit on September 28, 2013 was signed by a technician and noted that the drainage tubes were being left in place; E.C. was apparently not seen by a physician on this visit. On September 30, 2013, E.C. was examined by Respondent (although he did not sign the progress note); at this time, the remaining drainage tube was removed; E.C.'s blood pressure was recorded as 187/113; advice given to E.C. was to rest and relax. On October 2, 2013, E.C. was seen by staff, and his eyelid sutures were removed; E.C.'s blood pressure was recorded as 154/94. On October 5, 2013, E.C.'s blood pressure was recorded as 162/105; there is no progress note for this visit, except that an unsigned addendum to the October 2, 2013 visit indicates that the facelift sutures were removed on October 5, 2013. Post-operative photographs were taken on October 21, 2013, but there is no accompanying progress note.
- 16. Patient E.C. was dissatisfied with the results of the procedure and the care he received from Respondent. E.C. filed a consumer complaint, which the Board received on June 23, 2014.

FIRST CAUSE FOR DISCIPLINE

(Gross Negligence/Negligence)

- 17. The allegations of paragraphs 7 through 16, above, are incorporated herein by reference as if fully set forth.
- 18. Respondent Fred Suess, M.D. is subject to disciplinary action under section 2234(b) and/or (c) of the Code in that he was grossly negligent and/or repeatedly negligent in his care and treatment of patient E.C. by reason of the following acts or omissions:
- A. Patients presenting for cosmetic surgery must have a physical examination by a physician and must be deemed healthy enough to tolerate the procedure. E.C. presented with evidence of hypertension at his initial visit, and he remained hypertensive throughout his interactions with Lifestyle Lift Clinic in San Ramon. Respondent indicates that he did notify E.C.

that he should follow up with this primary care physician regarding his blood pressure, but this is nowhere indicated in the record.

- B. Performing surgery on a hypertensive patient adds additional risk to the procedure for excessive bleeding, hematoma formation, and stroke. Such a risk should be mitigated prior to the initiation of any elective procedure. E.C. was allowed to proceed to surgery despite this increased risk.
- C. If a patient becomes hypertensive during a surgical procedure, medications should be provided to the patient to stabilize the blood pressure. E.C. remained hypertensive throughout his surgery, and Respondent failed to provide any blood pressure reducing medications, other than the small doses of clonidine that were administered.
- D. E.C. was repeatedly hypoxic for significant periods of time during the surgical procedure. If a patient becomes hypoxic during a procedure, it is required to assure the oxygen saturation probe is working correctly and to provide exogenous oxygen for the patient to breathe and return his oxygen saturation to the normal range. There is no documentation that Respondent or the other medical staff present noted or responded to E.C.'s low oxygen saturation during the procedure.

SECOND CAUSE FOR DISCIPLINE

(Inaccurate/Inadequate Record Keeping)

- 19. Respondent Fred Suess, M.D. is subject to disciplinary action under sections 2266 and 2234 of the Code in that he failed to maintain adequate and accurate medical records.
- 20. The allegations of paragraphs 7 through 16, above, are incorporated herein by reference as if fully set forth.
- 21. Patient encounters must be documented with a contemporaneous note signed by the provider of service, and all services provided should be accurately documented. In E.C.'s medical record, there are numerous instances of unsigned and incomplete notes, as well as absence of notes. Vital signs, if taken, are at times not entered in the record. A prescription for Famvir, which Respondent indicated was given, was not in the record, although all other prescriptions are photocopied and appear in the record. There was no documentation of Respondent's absence

1	from the surgical suite and no indication of personnel that were left in charge of E.C. while he			
2	was in attendance on an emergency patient. Respondent's operative notes for E.C. are inadequate			
3	and inaccurate.			
4	<u>PRAYER</u>			
5	WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,			
6	and that following the hearing, the Medical Board of California issue a decision:			
7	1. Revoking or suspending Physician and Surgeon's Certificate Number G 26266, issued			
8	to Fred Suess, M.D.;			
9	2. Revoking, suspending or denying approval of Fred Suess, M.D.'s authority to			
10	supervise physician assistants, pursuant to section 3527 of the Code;			
11	3. Ordering Fred Suess, M.D., if placed on probation, to pay the Board the costs of			
12	probation monitoring; and			
13	4. Taking such other and further action as deemed necessary and proper.			
14				
15	DATED: January 22, 2016 / WWW. J. WINGELLY VINCIONEYED			
16	Executive Director Medical Board of California			
17	Department of Consumer Affairs State of California			
18	Complainant			
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